

01/15/02

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SI01-031	Total Pages	2
First Named Inventor or Application Identifier Rief, Angelika et al.			
Title : Optical Fiber Coupling Unit and Optical Waveguide Arrangement, and Method of Producing an Optical Fiber Coupling Unit			
Express Mail Label No.	EV041470295US		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on January 15, 2002
(Date)

Signature Walter M. Douglas

"EXPRESS MAIL" Mailing Label No. EV041470295US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1002 U.S. PTO
10/04/2002
01/15/02

1. <input checked="" type="checkbox"/> * Fee Transmittal Form <i>(Submit an original and a duplicate for fee processing)</i>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)			
2. <input checked="" type="checkbox"/> Specification [Total Pages] 19 <i>(preferred arrangement set forth below)</i>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>			
- Descriptive title of the Invention	a. <input type="checkbox"/> Computer Readable Copy			
- Cross References to Related Applications	b. <input type="checkbox"/> Paper Copy (identical to computer copy)			
- Statement Regarding Fed sponsored R&D	c. <input type="checkbox"/> Statement verifying identity of above copies			
- Reference to Microfiche Appendix				
- Background of the Invention				
- Brief Summary of the Invention				
- Brief Description of the Drawings (if filed)				
- Detailed Description				
- Claim(s)				
- Abstract of the Disclosure				
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets] 8				
4. Oath or Declaration [Total Pages]				
a. <input type="checkbox"/> Executed (original or copy)	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i>	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>			
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</i>	9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>			
16. If a CONTINUING APPLICATION , check appropriate box, and supply the requisite information below and in a preliminary amendment:	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations			
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional. <input type="checkbox"/> Continuation-in-part (CIP) of prior application No	11. <input type="checkbox"/> Preliminary Amendment			
Prior application information: Examiner: Group / Art Unit:	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i>			
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	13. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>			
17. CORRESPONDENCE ADDRESS				
<input type="checkbox"/> Customer Number or Bar Code Label or <input checked="" type="checkbox"/> Correspondence address below				
NAME	Walter M. Douglas			
ADDRESS	Corning Incorporated, SP-TI-3-1			
CITY	Corning	STATE	NY	ZIP CODE
COUNTRY	USA	TELEPHONE	(607) 974-2431	FAX
Name (Print/Type)	Walter M. Douglas	Registration No. (Attorney/Agent)		34,510
Signature	<u>Walter M. Douglas</u>		Date	Jan 15, 2002

FEE TRANSMITTAL for FY 2001

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Rief, Angelika
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned

TOTAL AMOUNT OF PAYMENT **(\$740.00)**

Attorney Docket Number **SI01-031**

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee	Fee	Fee Description	Fee Paid
	Code	(\$)		
101	740		Utility filing fee	<u>740.00</u>
106	330		Design filing fee	
107	510		Plant filing fee	
108	740		Reissue filing fee	
114	160		Provisional filing fee	
SUBTOTAL (1)			(\$740.00)	

2. EXTRA CLAIM FEES

Extra Fee from
Claims below Fee Paid

Total Claims $20 - 20^{**} = 0 \times 18 = 0.00$

Independent Claims $3 - 3^{**} = 0 \times 84 = 0.00$

Multiple Dependent $0 = 0.00$

**or number previously paid, if greater; For Reissues, see below

Large Entity	Fee	Fee	Fee Description
	Code	(\$)	
103	18		Claims in excess of 20
102	84		Independent claims in excess of 3
104	280		Multiple dependent claim, if not paid
109	84		** Reissue independent claims over original patent
110	18		** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)			(\$00.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee	Fee	Fee Description	Fee Paid
	Code	(\$)		
105	130		Surcharge - late filing fee or oath	
127	50		Surcharge - late provisional filing fee or cover sheet	
139	130		Non-English specification	
147	2,520		For filing a request for reexamination	
112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840		Requesting publication of SIR after Examiner action	
115	110		Extension for reply within first month	
116	390		Extension for reply within second month	
117	890		Extension for reply within third month	
118	1,390		Extension for reply within fourth month	
128	1,890		Extension for reply within fifth month	
119	310		Notice of Appeal	
120	310		Filing a brief in support of an appeal	
121	270		Request for oral hearing	
138	1,510		Petition to institute a public use proceeding	
140	110		Petition to revive - unavoidable	
141	1,240		Petition to revive - unintentional	
142	1,240		Utility issue fee (or reissue)	
143	440		Design issue fee	
144	600		Plant issue fee	
122	130		Petitions to the Commissioner	
123	50		Petitions related to provisional applications	
126	240		Submission of Information Disclosure Stmt	
581	40		Recording each patent assignment per property (times number of properties) <u> </u> x	
146	710		Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
149	710		For each additional invention to be examined (37 C.F.R. § 1.129(b))	
Other fee (specify) _____				
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3)	(\$ 00.00)

SUBMITTED BY

Completed (if applicable)

Name (Print/Type)	Walter M. Douglas	Registration No. (Attorney/Agent)	34,510
Signature	<i>Walter M. Douglas</i>	Date	<i>Jan 15, 2002</i>

(U.S.)

Attorney Docket No.: SI01-031

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Rief, et al.
Serial No.: TBA
Filed: TBA
For: Optical Fiber Coupling Unit and Optical Waveguide Arrangement, and Method of Producing an Optical Fiber Coupling Unit

Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATION OF TRANSLATION

This application is a U.S. application which claims priority to German Application No. 101 03 125.4 filed on January 24, 2001, which application was filed in the German language. An accurate English translation of the German application is enclosed herewith as required by 37 CFR 1.53 (d).

Applicants authorize the Commissioner to charge any fees which may be required under 37 CFR 1.17 (k) to Deposit Account Number 03-3325.

Respectfully submitted,

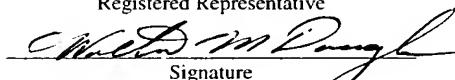

Walter M. Douglas
Reg. No. 34,510
Corning Incorporated
SP-TI-03-1
Corning, NY 14831
(607) 974-2431

Date: Jan 15, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231 on Jan 15, 2002

Date of Deposit

Walter M. Douglas
Name of applicant, assignee, or
Registered Representative



Jan 15, 2002
Date of Signature